

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	6168671
<b>Application Number:</b>	10816496
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	8612
<b>Title of Invention:</b>	Method and system for assessing loan credit risk and performance
<b>First Named Inventor/Applicant Name:</b>	Mark Y. An
<b>Customer Number:</b>	34099
<b>Filer:</b>	Hector A. Agdeppa/Sherry Cunningham
<b>Filer Authorized By:</b>	Hector A. Agdeppa
<b>Attorney Docket Number:</b>	037607-0238
<b>Receipt Date:</b>	29-SEP-2009
<b>Filing Date:</b>	01-APR-2004
<b>Time Stamp:</b>	18:30:18
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$ 180
RAM confirmation Number	8447
Deposit Account	
Authorized User	

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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1	NPL Documents	BERKOVEK_Discrimination.pdf	1061497	no	14
			9f0cd3bb4b23d217082b5276d5ef7840c97f99e3		
Warnings:					
Information:					
2	NPL Documents	FABOZZI_The_Handbook.pdf	151131	no	3
			d244f60cb2ed80ee462507bf7a345a555128af3c		
Warnings:					
Information:					
3	NPL Documents	HARNEY_Measures_for_the_Borrowers.pdf	174694	no	3
			a3646defbf0fc84be11b700f2c0ccfbae3e7ee13		
Warnings:					
Information:					
4	NPL Documents	LACOUR-LITTLE_Improving_Parametric.pdf	487387	no	30
			4f58fc275a8f465680bfd76916858435c6cc5ba4		
Warnings:					
Information:					
5	NPL Documents	MITCHELL_Interest.pdf	1097299	no	25
			27e773378d40ecc5f24451b86e900df56c76b98e		
Warnings:					
Information:					
6	NPL Documents	PETERSEN_An_Experimental.pdf	370805	no	4
			e0bb7039330330da71ccc34f39850bc15954d82e		
Warnings:					
Information:					
7	NPL Documents	Presentation_RMBS_Credit_Enhancement.pdf	4291118	no	97
			ede41de490ed03d988a6367c7f92201d6f18f1dd		
Warnings:					
Information:					
8	NPL Documents	SCOWCROFT_Managing_Interest.pdf	253095	no	3
			bfb41b9d04a7ff62677ca47c795a7d10511eb936		
Warnings:					
Information:					
9	NPL Documents	Wal_Mart_Earnings.pdf	117931	no	2
			9277d76e0cd9df14c7ee72b98b7fe59a9bc19ddc		
Warnings:					
Information:					

10		Suppl_IDS_PTOSB08_037607_0238.pdf	1851557 dc9161d75ebcd89988fe7129648ace4c923989d	yes	4
	<b>Multipart Description/PDF files in .zip description</b>				
	<b>Document Description</b>		<b>Start</b>	<b>End</b>	
	Transmittal Letter		1	2	
	Information Disclosure Statement (IDS) Filed (SB/08)		3	4	
<b>Warnings:</b>					
<b>Information:</b>					
11	Fee Worksheet (PTO-875)	fee-info.pdf	29766 96c68c246bc04eaa6fd372401ea0270d098c4024	no	2
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>			9886280		
<p><b>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</b></p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b>  <b>If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</b></p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b>  <b>If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</b></p> <p><b><u>New International Application Filed with the USPTO as a Receiving Office</u></b>  <b>If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</b></p>					